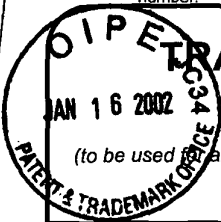


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Approved for use through 09/30/2000. OMB 0651-0031  
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#3



<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/914,001
	Filing Dat	August 20, 2001
	First Named Inventor	Kaeppler
	Group Art Unit	
	Examiner Name	
Total Number of Pages in This Submission	Attorney Docket Number	P1659 USA

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input checked="" type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Additional Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Lisa V. Mueller GARDNER CARTON & DOUGLAS
Signature	
Date	December 14, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: December 14, 2001			
Typed or printed name	Aida Blekhman		
Signature		Date	December 14, 2001

+ Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

01/25/2002 09:00:00 00000000 0931/001

01/25/2002 130.00 00

CH02/22162033.1

PGT Rec'd 16 JAN 2002

#3

**CERTIFICATE OF MAILING  
UNDER 37 CFR 1.8**

**Date of Deposit: December 14, 2001**

I hereby certify that a Response to Notice To File Missing Parts and its enclosures are being deposited with the United States Postal Service with sufficient postage as First Class Mail service under 37 CFR 1.8 on the date indicated above, addressed to:

Commissioner for Patents  
Box: MISSING PARTS  
Washington, D.C. 20231

Enclosed:

1. Transmittal Form (1 pg.)
2. A copy of the Notification of Missing Requirements Under 35 U.S.C.371
3. Response to Notice To File Missing Parts (11 pgs.)
4. Change of Correspondence Address (1 page)
5. Check for \$130.00
6. Return postcard

For: CLASS DNA METHYLTRANSFERASES OF ZEA MAYS

Appl. No. 09/914,001

Aida Blekhman  
(Typed or Printed Name of  
Person Mailing Application)

Aida Blekhman  
(Signature of Person Mailing  
Application)

Docket No.: P1659USA

CH02/22162042.1

☒ Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/914,001	Shawn M Kaeppler	WIS4987P0051US

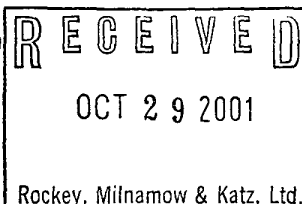
INTERNATIONAL APPLICATION NO.
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PCT/US00/06456
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LA. FILING DATE	PRIORITY DATE
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03/10/2000	03/11/1999
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Mueller, Lisa V.  
Rockey, Milnamow & Katz  
180 N. Stetson Ave., Two Prudential Plaza  
Suite 4700  
Chicago, IL 60601



CONFIRMATION NO. 7131

371 FORMALITIES LETTER



\*OC000000006925833\*

Date Mailed: 10/22/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Request for Immediate Examination

DUE RESPONSE

DATE 12-22-01

DKT V Law

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR**

• **THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

VONDA M WALLACE

Telephone: (703) 305-3736

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/914,001	PCT/US00/06456	WIS4987P0051US